

THE PRINCIPLE OF COGNITIVE ACTION

THE CASE OF VISUAL FEATURES

Science is like sex: sometimes something useful
come out, but that is not the reason we are doing it

– Richard Feynman

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Outline

Part I - Cognitive Action Laws

- Natural laws of learning
- Cognitive Action Laws of learning
- Causality and the control of information overloading
- Energy balance
- Links with stochastic gradient

Outline (con't)

Part II - A new framework for vision

- The role of time and motion invariance
- CAL of convolutional visual features (shallow model)
- Focus of attention as a necessary computational issue
- Discretization in the retina of “shallow models”
- Deep convolutional nets

Machine Learning

A CONSTRAINT-BASED APPROACH



MK
MORGAN KAUFMANN

Marco Gori

NATURAL LAWS OF LEARNING

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MOTIVATIONS

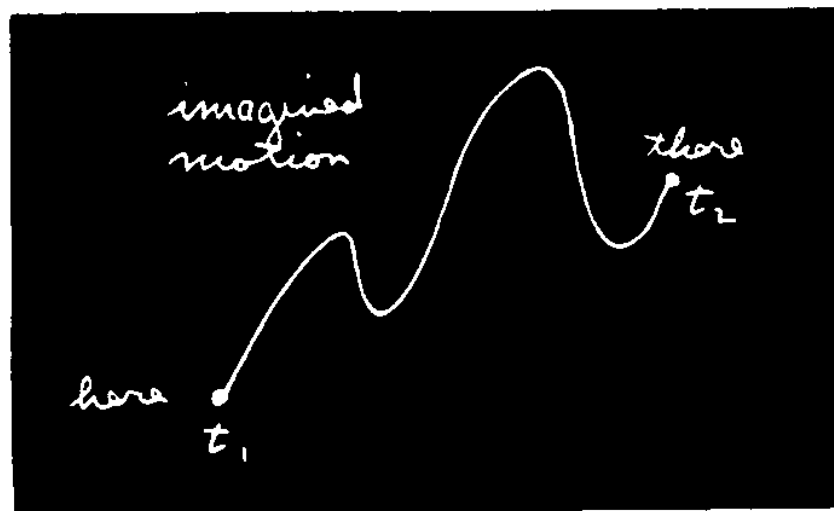
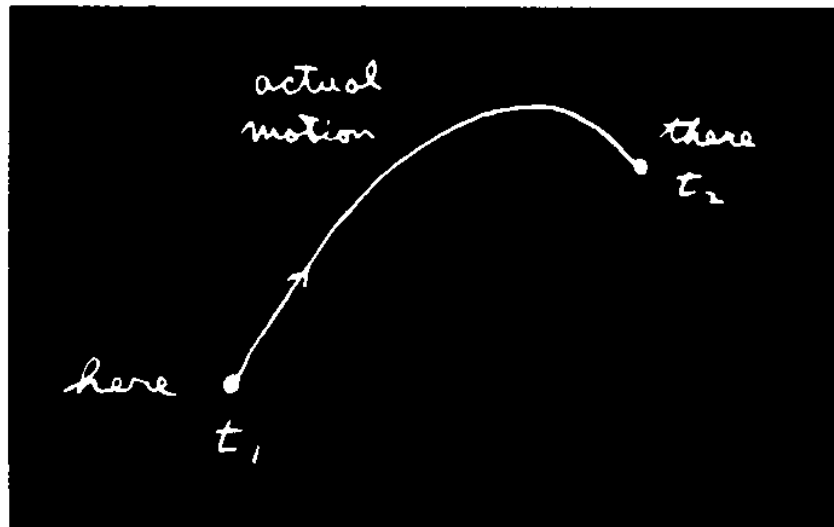
from perceptual tasks

In computer vision we have been
facing problems harder than
those offered by Nature!

Perception in the framework of computational laws of nature

- Time isn't only the iteration index of on-line algorithms
- Perceptual information is indexed by time!
- The weights of a neural network can be thought of as Lagrangian coordinates
- Environmental interactions can be modeled by constraints on the tasks being developed

Least Action in Mechanics



$$S = \int \left[\frac{m}{2} \left(\frac{dx}{dt} \right)^2 - V(x) \right] dt$$

$$\int_{t_1}^{t_2} \left[\frac{1}{2} m \left(\frac{dx}{dt} \right)^2 - mgx \right] dt.$$

which path?

Stationarity of Action

$$S = \int_{t_1}^{t_2} \left[\frac{m}{2} \left(\frac{d\underline{x}}{dt} + \frac{d\eta}{dt} \right)^2 - V(\underline{x} + \eta) \right] dt$$

variation

$$\left(\frac{d\underline{x}}{dt} \right)^2 + 2 \frac{d\underline{x}}{dt} \frac{d\eta}{dt} + \cancel{\left(\frac{d\eta}{dt} \right)^2}$$

$$S = \int_{t_1}^{t_2} \left[\frac{m}{2} \left(\frac{d\underline{x}}{dt} \right)^2 - V(\underline{x}) + m \frac{d\underline{x}}{dt} \frac{d\eta}{dt} - \eta V'(\underline{x}) + \text{(second and higher order)} \right] dt$$

$$\delta S = m \cancel{\frac{d\underline{x}}{dt}} \eta(t) \Big|_{t_1}^{t_2} - \int_{t_1}^{t_2} \frac{d}{dt} \left(m \frac{d\underline{x}}{dt} \right) \eta(t) dt - \int_{t_1}^{t_2} V'(\underline{x}) \eta(t) dt.$$

known boundaries ... or transversality conditions

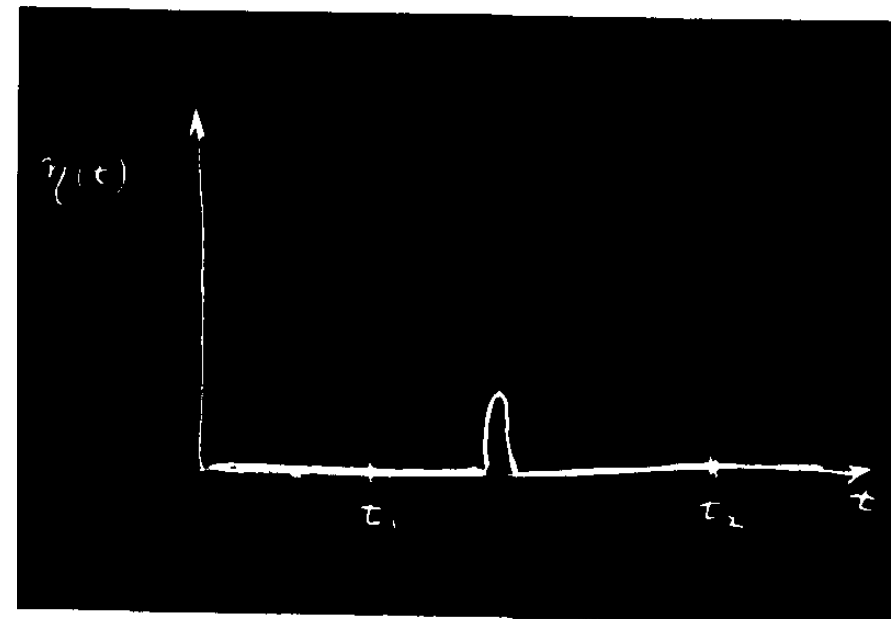
$$\delta S = \int_{t_1}^{t_2} \left[-m \frac{d^2 \underline{x}}{dt^2} - V'(\underline{x}) \right] \eta(t) dt.$$

Stationarity of Action (con't)

$$\delta S = \int_{t_1}^{t_2} \left[-m \frac{d^2 \underline{x}}{dt^2} - V'(\underline{x}) \right] \eta(t) dt$$

$$\int F(t) \eta(t) dt = 0 \quad \longrightarrow$$

Fundamental Lemma of variational calculus



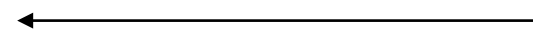
$$\left[-m \frac{d^2 \underline{x}}{dt^2} - V'(\underline{x}) \right] = 0$$

Euler-Lagrange equations

Kinetic Energy

how fast are you moving/learning?

$$K(\dot{w}) = \frac{1}{2} \sum_{i=1}^m m_i \dot{w}_i^2$$



from mechanics

how fast are weights moving?

A Kinetic energy with high-order differential operators

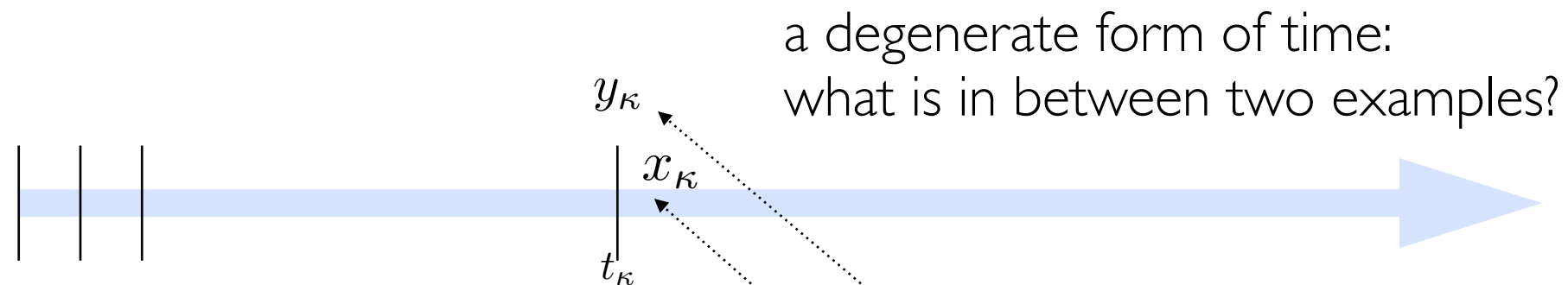
$$T_i(t) = \sum_{j=0}^{\ell} \alpha_{i,j}(t) \frac{d^j}{dt^j}$$

$$K(t, Tw) = \frac{1}{2} \psi \sum_{i=1}^n m_i (T_i w_i)^2$$

dissipation function: why do we need it?

order **higher than two** will be proven to be of crucial importance
for “cognitive laws”!

Potential energy as a loss function



supervised learning

$$\int_{t_0}^{t_1} V(t, w(t)) = \int_{t_0}^{t_1} \sum_{\kappa=1}^{\ell} (f(w(t), x(t)) - y_{\kappa}) \delta(t - t_{\kappa})$$

$$E(w) = \sum_{\kappa=1}^{\ell} (y_{\kappa} - f(w, x_{\kappa}))^2$$

$$x_{\kappa} = x(t_{\kappa})$$

Potential energy as a loss function (con't)

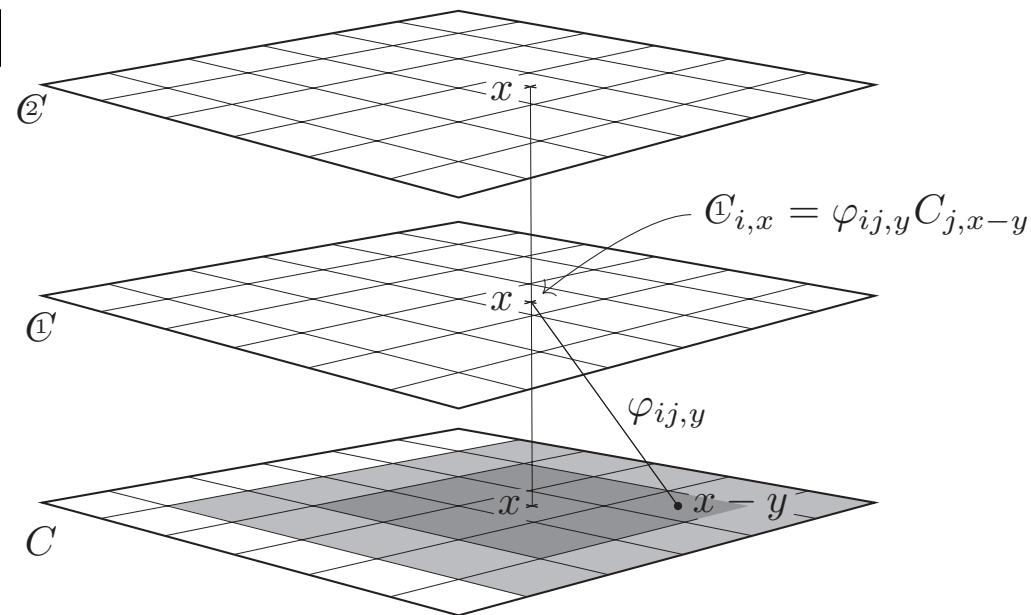
visual environment
 $D = X \times [0..T]$

unsupervised learning

retina

frame

frame

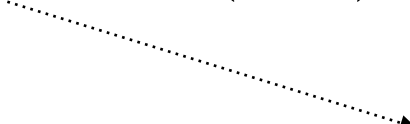


$$C_i(x, t) = \frac{1}{n} + \sum_{j=0}^{m-1} \int_X dy \varphi_{ij}(x, y, t) C_j(y, t) = \frac{1}{n} + (\varphi_t \times C_t)_i(x)$$

time has a physical meaning here!

Potential energy as a loss function (con't)

$$V(t, w(t)) = U(w, u)$$


$$D = X \times [0 \dots T]$$

unsupervised learning

convolutional filter weights

$$S(Y \mid X, T, F) = - \int_D d\mu(x, t) \sum_{i=1}^n \mathbb{C}_i(x, t) \log \mathbb{C}_i(x, t)$$

$$S(Y) = - \sum_{i=1}^n \left(\int_D d\mu(x, t) \mathbb{C}_i(x, t) \right) \log \left(\int_D d\mu(x, t) \mathbb{C}_i(x, t) \right)$$

$$I(Y; X, T, F) = S(Y) - S(Y \mid X, T, F).$$

Cognitive Action

$$F_m(t, w, \dot{w}) = \sum_{i=1}^m F(t, w_i, \dot{w}_i) = \sum_{i=1}^m K(t, \dot{w}_i) \overset{\text{special case}}{\overset{+}{\uparrow}} \gamma V(t, w) \underset{-}{V(t, w)}$$

$$F(t, w, \dot{w}) = \psi(t) F_m(t, w, \dot{w})$$

dissipation function $\psi(t) = e^{\theta t}$

$$A(w) = \int_{t_0}^{t_1} F(t, w, Tw) dt$$



An action for visual features

$$A(w) = \int_0^T e^{\theta t} \left(\frac{\mu}{2} |\ddot{w}|^2 + \frac{\nu}{2} |\dot{w}|^2 + \gamma \dot{w} \ddot{w} + \frac{k}{2} |w|^2 + U(w, u) \right) dt$$

Theorem (Betti et al, arxiv 2018)

coercivity conditions

$$\mu > \gamma_2^2, \quad \nu > \gamma_1^2, \quad k > 0$$

$$\gamma = \gamma_1 \gamma_2$$

minimum under initial conditions $w(0) = w^0, \quad \dot{w} = w^1$

intuition ...

Natural laws of cognition

Natural Learning Theory \rightsquigarrow Mechanics	Remarks
$w_i \rightsquigarrow q_i$	Weights are interpreted as generalized coordinates.
$\dot{w}_i \rightsquigarrow \dot{q}_i$	Weights variations are interpreted as generalized velocities.
$v_i \rightsquigarrow p_i$	The conjugate momentum to the weights is defined by using the machinery of Legendre transforms.
$A(w) \rightsquigarrow S(q)$	The cognitive action is the dual of the action in mechanics.
$F(t, w, \dot{w}) \rightsquigarrow L(t, q, \dot{q})$	The Lagrangian F is associated with the classic Lagrangian L in mechanics.
$H(t, w, v) \rightsquigarrow H(t, q, p)$	When using w and v , we can define the Hamiltonian, just like in mechanics.

COGNITIVE ACTION LAWS

time is not
the iteration index of traditional
machine learning algorithms
... e.g. recurrent nets

Euler-Lagrange equations

$$\xi^{(\kappa)}(t_0) = \xi^{(\kappa)}(t_1) = 0, \quad \kappa = 0, \dots, \ell - 1.$$

conditions on the boundaries on $w^{(\kappa)}$

Then we have

$$\begin{aligned} \delta A &= A[\check{w}] - A[w] \\ &= \int_{t_0}^{t_1} [F(t, w + \epsilon \xi, Tw + \epsilon T\xi) - F(t, w, Tw)] dt \\ &= \epsilon \cdot \int_{t_0}^{t_1} [F_w \cdot \xi + F_{Tw} \cdot T\xi] dt + \mathcal{O}(\epsilon^2). \end{aligned}$$

$$\int_{t_0}^{t_1} F_{Tw} \cdot T\xi dt = \int_{t_0}^{t_1} (T^* F_{Tw}) \cdot \xi dt. \quad \delta A = \epsilon \cdot \int_{t_0}^{t_1} [F_w + T^* F_{Tw}] \cdot \xi dt$$

$$F_w + T^* F_{Tw} = 0$$


Cognitive Action Laws as Euler-Lagrange equations

$w^{(\kappa)}(t_0)$ and $w^{(\kappa)}(t_1)$ with $\kappa = 0, \dots, \ell - 1$.

$$F_{w_i} + T_i^\star F_{T_i w_i} = 0 \quad i = 1, \dots, n.$$

$$T_i^\star(\psi T_i w_i) + \gamma V_{w_i} = 0 \quad i = 1, \dots, n.$$

REDUCTION TO MECHANICS

Let us assume that $\forall i = 1, \dots, n$: $T_i = T = D$ be, and let $\gamma = -1$ be. Then we consider the Lagrangian

$$F(t, w, Tw) = \frac{1}{2} \psi \sum_{i=1}^n m_i (Dw_i)^2 - \psi V(w)$$

Betti & Gori, TCS-2016

where $\psi = e^{\theta t}$. Then the Euler-Lagrange equations (see [Theorem 3.1](#)) become

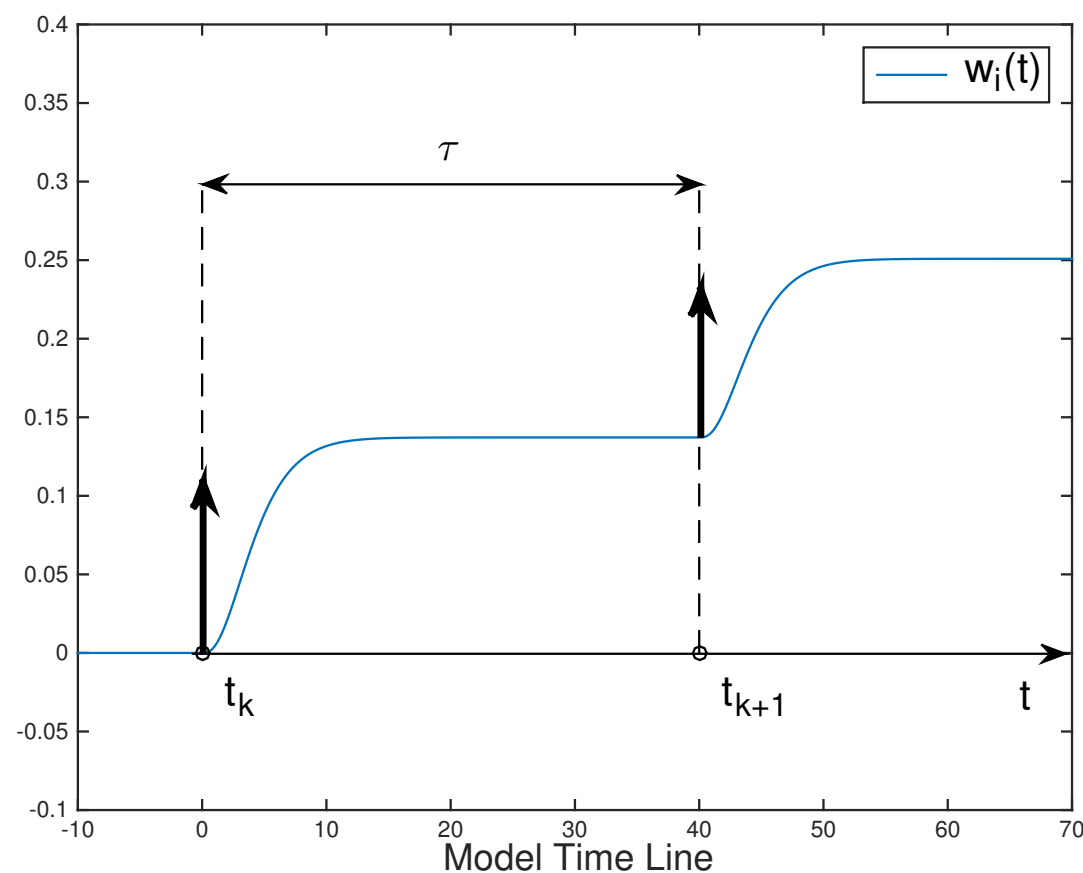
$$D^2 w_i + \theta Dw_i + V_{w_i} = 0 \quad i = 1, \dots, n,$$

strong dissipation corresponds with gradient descent!

Supervised Learning

$$\psi(t) = e^{\theta t}; \quad \frac{\dot{\psi}}{\psi} = \theta$$

$$t \in (t_\kappa, t_{\kappa+1}) : \quad m_i \ddot{w}_i(t) + \theta \dot{w}_i(t) + \sum_{i < \kappa} V_{w_i}(t_\kappa) \delta(t - t_i) = 0$$



strong dissipation

Consistent boundary condition

$$\dot{w}(t_1) = 0$$

Fourth-order Euler-Lagrange equations

Interpretation as a minimum

Consistent boundary condition under stability (sign flip issue)

Let us consider the case $T = \alpha_0 + \alpha_1 D + \alpha_2 D^2$ and $\psi(t) = e^{\theta t}$.

From [Proposition 3.1](#), we have $T^* = \alpha_0 - \alpha_1 D + \alpha_2 D^2$ and we can easily see that the EL-equations becomes

$$D^4 w_i + \beta_3 D^3 w_i + \beta_2 D^2 w_i + \beta_1 D w_i + \beta_0 w_i + \frac{\gamma}{\alpha_2^2 m_i} V_{w_i} = 0$$

where

$$\beta_0 := \frac{\alpha_0 \alpha_2 \theta^2 - \alpha_0 \alpha_1 \theta + \alpha_0^2}{\alpha_2^2}$$

$$\beta_1 := \frac{\alpha_1 \alpha_2 \theta^2 + (2\alpha_0 \alpha_2 - \alpha_1^2) \theta}{\alpha_2^2}$$

$$\beta_2 := \frac{\alpha_2^2 \theta^2 + \alpha_1 \alpha_2 \theta + 2\alpha_0 \alpha_2 - \alpha_1^2}{\alpha_2^2}$$

$$\beta_3 := 2\theta.$$

stability and “sign flip”

CAUSALITY AND CONTROL OF INFORMATION OVERLOADING

Causality Issues

think of

- Backpropagation
- Bellman's dynamic programming
- Optimal control
- ... physical problems typically involves boundary conditions

An action for visual features

$$A(w) = \int_0^T e^{\theta t} \left(\frac{\mu}{2} |\ddot{w}|^2 + \frac{\nu}{2} |\dot{w}|^2 + \gamma \dot{w} \ddot{w} + \frac{k}{2} |w|^2 + U(w, u) \right) dt$$

Euler-Lagrange Equations

$$\mu w^{(4)} + 2\theta \mu w^{(3)} + (\theta^2 \mu + \theta \gamma - \nu) w^{(2)} + (\theta^2 \gamma - \theta \nu) w^{(1)} + k w + \nabla_w U(w, u) = 0$$

$$w(0) = w^0, \quad \dot{w} = w^1, \quad \ddot{w}(0) = w^2, \quad w^{(3)}(0) = w^3 \longleftarrow \text{initial conditions}$$

$$\hat{\mu} \ddot{w}(T) + \hat{\gamma} \dot{w}(T) = 0$$

boundary conditions

$$\hat{\mu} w^{(3)}(T) + \dot{\hat{\mu}} \ddot{w}(T) + (\dot{\hat{\gamma}} - \hat{\nu}) \dot{w}(T) = 0$$

consistency needed

Controlling information overloading

... during learning

- **Filtering the input** - blurring in newborns, it's not only a matter of choosing the learning rate properly! What if humans are given a video with 300 frames/sec?
- **Reset of system dynamics** - saccadic movements
they correspond with the presence of null input

$$\begin{array}{c} \vdots \\ w(0), \quad \dot{w}(0) = 0 \\ \downarrow \\ w^{(1)}(T) = 0, \quad w^{(2)}(T) = 0, \quad w^{(3)}(T) = 0 \end{array}$$

ENERGY BALANCE

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Resembling mechanics ...

$$K(\dot{w}) = \frac{1}{2} \sum_{i=1}^m m_i \dot{w}_i^2$$

$$F_m(t, w, \dot{w}) = \sum_{i=1}^m K(t, \dot{w}_i) - V(t, w)$$

$$F(t, w, \dot{w}) := \psi(t) F_m(t, w, \dot{w}) \quad A(w) = \frac{1}{T} \int_0^T F(t, w, \dot{w}) dt$$

$$m_i \ddot{w}_i + \frac{\dot{\psi}}{\psi} \dot{w}_i + V_{w_i} = 0$$

$$\lim_{t \rightarrow 0^+} \dot{w}_i(t) = 0, \quad \lim_{t \rightarrow T^-} \dot{w}_i(t) = 0 \quad \leftarrow \text{looking for constant solutions}$$

Energy balance

$$m_i \ddot{w}_i + \frac{\dot{\psi}}{\psi} \dot{w}_i + V_{w_i} = 0 \quad \psi(t) = e^{\theta t}; \quad \frac{\dot{\psi}}{\psi} = \theta$$

$$\sum_{i=1}^m m_i \ddot{w}_i \dot{w}_i + \frac{\dot{\psi}}{\psi} \sum_{i=1}^m \dot{w}_i^2 + \sum_{i=1}^m V'_{w_i} \dot{w}_i = 0.$$

$$DK(\dot{w}(t)) = D\left(1/2 \sum_{i=1}^m m_i \dot{w}_i^2\right) = \sum_{i=1}^m m_i \ddot{w}_i \dot{w}_i$$

$$DV(t, w(t)) - \partial_t V(t, w(t)) = \sum_{i=1}^m V'_{w_i} \dot{w}_i$$

$$\int_0^T DK + \sum_{i=1}^m \int_0^T \frac{\dot{\psi}}{\psi} \dot{w}_i^2 dt + \int_0^T DV - \int_0^T \partial_t V(t, w(t)) dt = 0$$

Energy balance (con't)

$$\int_0^T DK + \sum_{i=1}^m \int_0^T \frac{\dot{\psi}}{\psi} \dot{w}_i^2 dt + \int_0^T DV - \int_0^T \partial_t V(t, w(t)) dt = 0$$

$$U(t) := V(t, w(t)) + K(\dot{w}(t)) \quad \text{internal energy}$$

$$Z(T) := \sum_{i=1}^m \int_0^T \frac{\dot{\psi}}{\psi} \dot{w}_i^2 dt \quad \text{dissipated energy}$$

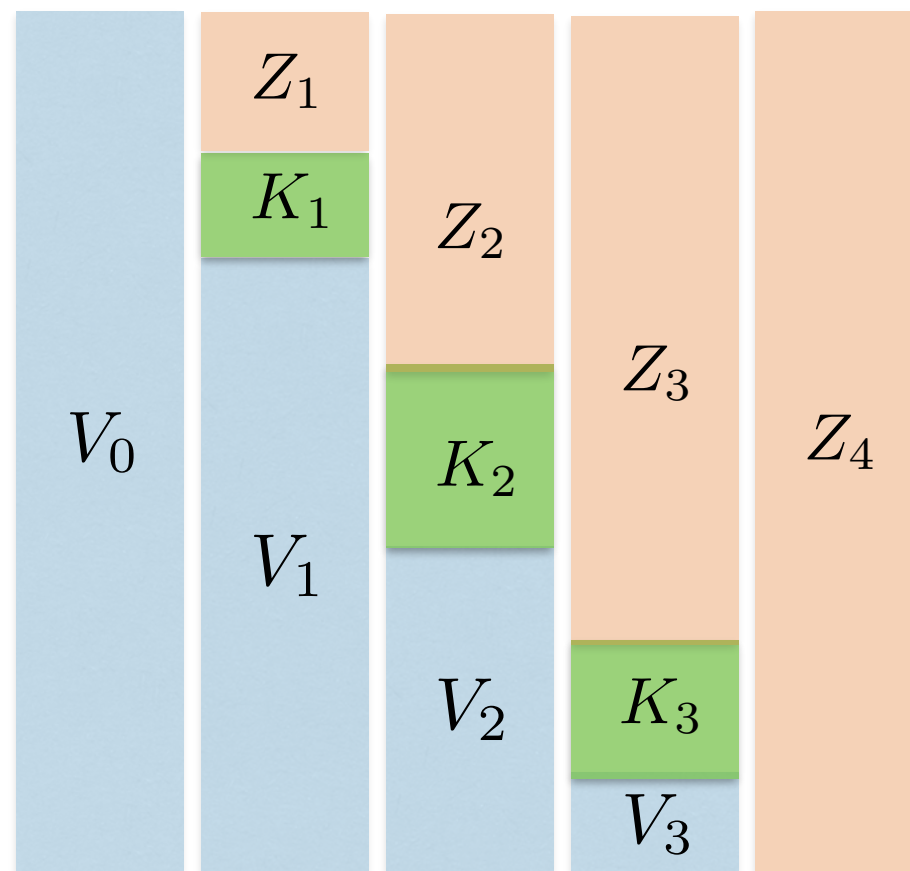
$$E(T) = \int_0^T \partial_t V(t, w(t)) dt \quad \text{environmental energy}$$

$$Z + \Delta U - E = 0$$

General Hamiltonian analysis in
Betti & Gori, TCS 2016

A Qualitative Picture

$$V(0) = V(T) + Z(T)$$



this is why we need an
increasing monotone

$$\psi(t) = e^{\theta t}; \quad \frac{\dot{\psi}}{\psi} = \theta$$

Learning yields **ordered configurations**
which require **dissipation of energy!**

LINKS WITH STOCHASTIC GRADIENT

Back to Gradient Descent: Strong Dissipation

$$m_i \ddot{w}_i + \frac{\dot{\rho}}{\rho} \dot{w}_i + V'_{w_i} = \cancel{m_i \ddot{w}_i} + \theta \dot{w}_i + V'_{w_i} = 0$$

$$\dot{w}_i = -\frac{m_i}{\theta} V'_{w_i}$$

strong dissipation

$$\frac{w_i(\kappa + 1) - w_i(\kappa)}{\tau} = -\frac{m_i}{\theta} V'_{w_i}$$

$$w_i(\kappa + 1) = w_i(\kappa) - \frac{m_i \tau}{\theta} V'_{w_i}$$

Back to Gradient Descent: Strong Dissipation

$$\mu w^{(4)} + 2\theta\mu w^{(3)} + (\theta^2\mu + \theta\gamma - \nu)w^{(2)} + (\theta^2\gamma - \theta\nu)w^{(1)} + kw + \nabla_w U(w, u) = 0$$

$$\mu = \nu \equiv 0 \text{ and } \gamma = 1/\theta^2$$

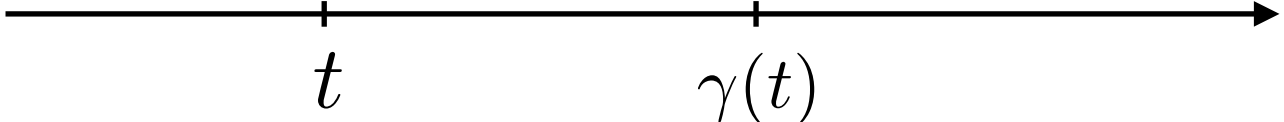
$$\cancel{\theta^{-1}w^{(2)}} + w^{(1)} + kw + \nabla_w U = 0$$

$$\theta \rightarrow \infty$$

Convergence analysis

based on energy balance

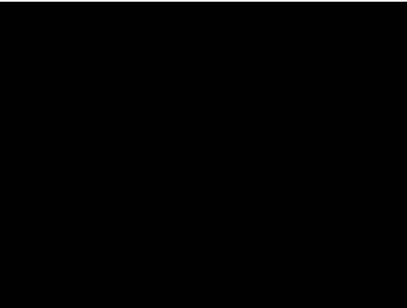
Definition 1 *An environment is quasi-periodic in $[0, T]$ of order $p \in \mathbb{R}$ if there exist $\alpha > 0$, $\epsilon > 0$ and a positive differentiable function $\tau : [0, T] \rightarrow (0, \infty]$ with $\tau(t) > 0$ such that $\gamma(t) := t + \tau(t)$, satisfies $\gamma'(t) > 1$ and*

$$\forall t \in [0, T] \quad \text{we have} \quad \|x(t) - x(\gamma(t))\| \leq \frac{\epsilon}{(\alpha + t)^p}. \quad (11)$$


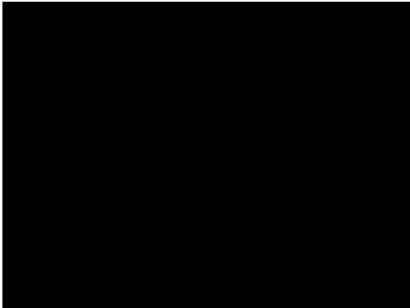
$$\lim_{t \rightarrow \infty} w(t) = \overline{w}$$

G. Bellettini et al, Generalization in quasi-periodic environments - arXiv, 2018

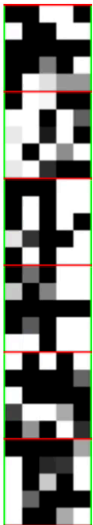
NEXT:
NEW CONVOLUTIONAL NETS



Video



Motion



Filters



Feature 1



Feature 2



Feature 3



Feature 4



Feature 5



Feature 6

```
{
  "status": "day",
  "rho": 0.0002999700082000345,
  "action_cur": 832.4277954101562,
  "mi_real_full": 0.00017303228378295898,
  "motion_full": 0,
  "mi_real": 0.00017303228378295898,
  "mi": 0.00010585784912109375,
  "ce": 1.0000181198120117,
  "minus_ge": -0.0001239776611328125,
  "motion": 0,
  "norm_q": 52.456607818603516,
  "norm_q_mixed": 1.5736880687455823e-9,
  "norm_q_dot": 5.2456615440288346e-11,
  "norm_q_dot_dot": 4.7210324538582427e-8,
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  "eps1": 960,
  "eps2": 960,
  "eps3": 960
}
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